



ELSEVIER

Topology and its Applications 108 (2000) 217–232

**TOPOLOGY  
AND ITS  
APPLICATIONS**

www.elsevier.com/locate/topol

## The compatibility of the filtration of mapping class groups of two surfaces pasted along the boundaries

Mamoru Asada

*Faculty of Engineering and Design, Kyoto Institute of Technology, Matsugasaki, Sakyo-ku,  
Kyoto 606-8585, Japan*

Received 22 February 1997; received in revised form 5 November 1998 and 15 June 1999

### Abstract

Let  $\Sigma_g^n$  be an orientable surface of genus  $g \geq 0$  with  $n \geq 0$  punctures and  $\Gamma_g^n$  be its pure mapping class group. The group  $\Gamma_g^n$  has a filtration  $\{\Gamma_g^n[m]\}_{m \geq 1}$  induced from its action on the fundamental group of  $\Sigma_g^n$ . If  $g = g_1 + g_2$  and  $n = n_1 + n_2 - 2$ , we have a homomorphism  $\Gamma_{g_1}^{n_1}[3] \times \Gamma_{g_2}^{n_2}[3] \rightarrow \Gamma_g^n$  [Math. Ann. 304 (1996) 99], which is induced from pasting two surfaces with one boundary component along their boundaries. That this homomorphism preserves the filtration strictly has been shown by Nakamura in the case that  $n \geq 1$ . We shall show that this holds also in the case that  $n = 0$ . As an application, we obtain a lower bound of the rank of the graded module associated with the filtration of  $\Gamma_g (= \Gamma_g^0)$ . © 2000 Elsevier Science B.V. All rights reserved.

**Keywords:** Amalgamated product of rings; Automorphism groups of fundamental groups; Mapping class groups

**AMS classification:** 17; 20F; 55

### Introduction

Let  $\Sigma_g^n$  be an orientable surface of genus  $g \geq 0$  with  $n \geq 0$  punctures and  $\Gamma_g^n$  be its pure mapping class group. The fundamental group  $\pi_1(\Sigma_g^n)$  of  $\Sigma_g^n$  has a canonical filtration called the weight filtration (due to Oda and Kaneko), and this induces naturally a filtration

$$\Gamma_g^n = \Gamma_g^n[0] \supset \Gamma_g^n[1] \supset \Gamma_g^n[2] \supset \cdots \supset \Gamma_g^n[m] \supset \Gamma_g^n[m+1] \cdots$$

on the group  $\Gamma_g^n$ . The aim of this paper is to give some results on this filtration.

Let  $\Sigma_{g_1,1}^{n_1-1}$  (respectively  $\Sigma_{g_2,1}^{n_2-1}$ ) be an orientable surface of genus  $g_1$  (respectively  $g_2$ ) with  $(n_1 - 1)$  (respectively  $(n_2 - 1)$ ) punctures and one boundary component. Let  $\Sigma_g^n$

*E-mail address:* asada@hie.kit.ac.jp (M. Asada).

0166-8641/00/\$ – see front matter © 2000 Elsevier Science B.V. All rights reserved.

PII: S0166-8641(99)00140-6

be the surface of genus  $g (= g_1 + g_2)$  with  $n (= n_1 + n_2 - 2)$  punctures obtained from  $\Sigma_{g_1,1}^{n_1-1}$  and  $\Sigma_{g_2,1}^{n_2-1}$  by pasting their boundaries. Let  $\Gamma_{g_1,1}^{n_1-1}$  (respectively  $\Gamma_{g_2,1}^{n_2-1}$ ) be the pure mapping class group of  $\Sigma_{g_1,1}^{n_1-1}$  (respectively  $\Sigma_{g_2,1}^{n_2-1}$ ). Then, a pair of mapping classes  $(\sigma_1, \sigma_2)$  ( $\sigma_i \in \Gamma_{g_i,1}^{n_i-1}$ ) defines a mapping class of  $\Sigma_g^n$  and we have a homomorphism

$$\Gamma_{g_1,1}^{n_1-1} \times \Gamma_{g_2,1}^{n_2-1} \rightarrow \Gamma_g^n.$$

By a well known exact sequence

$$1 \rightarrow \mathbb{Z} \rightarrow \Gamma_{g_i,1}^{n_i-1} \rightarrow \Gamma_{g_i}^{n_i} \rightarrow 1,$$

this induces a homomorphism

$$p: \Gamma_{g_1}^{n_1}[3] \times \Gamma_{g_2}^{n_2}[3] \rightarrow \Gamma_g^n,$$

which preserves the filtration (Nakamura [14]).

In his paper [14], Nakamura has shown that *if  $n$  is greater than 0*, this homomorphism preserves the filtration strictly, i.e.,

$$p^{-1}(\Gamma_g^n[m]) = \Gamma_{g_1}^{n_1}[m] \times \Gamma_{g_2}^{n_2}[m] \quad (m \geq 3)$$

holds. Our first result is to show that *this holds also in the case that  $n = 0$*  (Theorem A).

The motivation of giving this supplementary result is explained as follows. The filtration  $\{\Gamma_g^n[m]\}_{m=1}^\infty$  has been investigated from the viewpoint of both topological and arithmetic interests. (Cf., e.g., Morita [12, 13] and [14], respectively.) It is known that the graded quotient module  $\text{gr}^m(\Gamma_g^n) (= \Gamma_g^n[m] / \Gamma_g^n[m+1])$  is a free  $\mathbb{Z}$ -module of finite rank on which  $\text{Sp}(2g, \mathbb{Z})$  naturally acts. Therefore, to determine the  $\text{Sp}(2g, \mathbb{Z})$ -module structure of  $\text{gr}^m(\Gamma_g^n)$  seems to be a fundamental problem. (The  $\text{Sp}(2g, \mathbb{Q})$ -module structure of  $\text{gr}^m(\Gamma_g^n) \otimes \mathbb{Q}$  has been determined for small  $m$  (Asada–Nakamura and Morita, cf. [3]).) But even the rank of  $\text{gr}^m(\Gamma_g^n)$  has not yet been determined (except in the case of  $g = 0, 1$ , see Section 5).

A few years ago, using the “cycle twists” due to Matsumoto [11], Oda [16] constructed a subgroup  $P$  of  $\Gamma_g (= \Gamma_g^0)$ , the mapping class group of a compact surface. Here  $P$  is isomorphic to the Artin pure braid group with  $g$ -strings. He showed that the inclusion  $P \rightarrow \Gamma_g$  induces injective homomorphisms

$$\text{gr}^m(P) \rightarrow \text{gr}^{m-1}(\Gamma_g) \quad (m = 2, 3, \dots),$$

$\text{gr}^m(P)$  being the graded quotient module associated with the lower central filtration of  $P$ . As a consequence, he obtained a lower bound of the rank of  $\text{gr}^m(\Gamma_g)$ .

Now, the method of pasting two surfaces gives us another way of obtaining a lower bound for the rank of  $\text{gr}^m(\Gamma_g)$ . Namely, the case that  $(g_2, n_2) = (1, 1)$  gives an injective homomorphism

$$\text{gr}^m(\Gamma_{g-1}^{n+1}) \rightarrow \text{gr}^m(\Gamma_g^n).$$

Composing these, we have an injective homomorphism

$$\text{gr}^m(\Gamma_1^{g-1}) \rightarrow \text{gr}^m(\Gamma_g).$$

On the other hand, the rank of  $\text{gr}^m(\Gamma_1^n)$  can be calculated comparatively easily. Thus, we obtain the following:

**Theorem B** (Section 5). *For  $m \geq 1$  and  $g \geq 3$ , we have*

$$\text{rank gr}^m(\Gamma_g) \geq \frac{1}{m} \sum_{r=1}^{g-2} \sum_{d|m} \mu(d) \{ (1 + \sqrt{r})^{m/d} + (1 - \sqrt{r})^{m/d} \}.$$

The right hand side of the above inequality is a polynomial of  $g$  with rational coefficients whose degree is  $[m/2] + 1$ .

In general, constructing linearly independent elements of  $\text{gr}^m(\Gamma_g^n)$  and obtaining a lower bound for its rank seems to be more difficult in the compact case (i.e., in the case of  $n = 0$ ) than in the non-compact case. The reason is that, if  $n = 0$ ,  $\pi_1(\Sigma_g^n)$  is not a free group and has one relation. As is well known, this one relator group is an amalgam of two free groups. The proof of Theorem A will be done by using the amalgam decomposition of, not the fundamental group itself, but its graded Lie algebra associated with the weight filtrations.

The organization of the present paper is as follows. In Section 1, we review the definition and basic properties of the filtration of mapping class groups. In Section 2, the pasting homomorphism is defined and the proof of Theorem A will be reduced to two properties of the graded Lie algebras associated with the weight filtration of fundamental groups. In Section 3, one of the properties will be verified. The proof of Theorem A will be completed in Section 4. In Section 5, the rank of  $\text{gr}^m(\Gamma_g^n)$  for  $g = 0, 1$  will be calculated and the proof of Theorem B will be given. In the case that  $g = 0, 1$ , the central filtration  $\{\Gamma_g^n[m]\}_{m \geq 1}$  of  $\Gamma_g^n[1]$  (essentially) coincides with the lower central filtration of  $\Gamma_g^n[1]$ . This (more or less well known) fact will also be verified.

## 1. Filtrations of mapping class groups

In this section, we recall the definition of the filtration of mapping class groups and summarize some basic properties (cf. Asada [2, 3], Nakamura and Tsunogai [15]).

**(1.1)** Let  $\pi_{g,n}$  be the group defined by the following generators and a defining relation:

$$\begin{aligned} \text{generators: } & x_1, \dots, x_{2g}, z_1, \dots, z_n, \\ \text{relation: } & [x_1, x_{g+1}] \cdots [x_g, x_{2g}] z_1 \cdots z_n = 1. \end{aligned}$$

Here the bracket  $[,]$  denotes the commutator:  $[a, b] = aba^{-1}b^{-1}$ . We assume  $g \geq 1, n \geq 0$  and  $2 - 2g - n < 0$ .

The weight filtration (due to Oda and Kaneko [8])  $\{\pi_{g,n}(m)\}_{m=1}^\infty$  of  $\pi_{g,n}$  is defined as follows:

$$\begin{aligned} \pi_{g,n}(1) &= \pi_{g,n}, \\ \pi_{g,n}(2) &= [\pi_{g,n}, \pi_{g,n}] \langle z_1, \dots, z_n \rangle, \\ \pi_{g,n}(m) &= \langle [\pi_{g,n}(m'), \pi_{g,n}(m'')] \mid m' + m'' = m \rangle \quad (m \geq 3). \end{aligned}$$

Then,  $\{\pi_{g,n}(m)\}_{m=1}^{\infty}$  is a decreasing sequence of normal subgroups of  $\pi_{g,n}$  and

$$\bigcap_{m \geq 1} \pi_{g,n}(m) = \{1\}.$$

Moreover, it is a central filtration, i.e.,

$$[\pi_{g,n}(m), \pi_{g,n}(m')] \subset \pi_{g,n}(m + m')$$

holds for all  $m, m' \geq 1$ . Hence, the graded module

$$\mathrm{gr}(\pi_{g,n}) = \bigoplus_{m=1}^{\infty} \mathrm{gr}^m(\pi_{g,n}) \quad (\mathrm{gr}^m(\pi_{g,n}) = \pi_{g,n}(m)/\pi_{g,n}(m+1))$$

has the structure of a graded Lie algebra over  $\mathbb{Z}$ , the Lie bracket being naturally induced from the commutator (cf. Bourbaki [6]).

The fundamental results about this Lie algebra we need are summarized as follows.

**Theorem WL** (Witt [18], Labute [9]).

(i) *The generators and a defining relation of the Lie algebra  $\mathrm{gr}(\pi_{g,n})$  are given as follows:*

$$\begin{aligned} \text{generators: } & X_1, \dots, X_{2g}, Z_1, \dots, Z_n, \\ \text{relation: } & \sum_{i=1}^g [X_i, X_{g+i}] + \sum_{j=1}^n Z_j = 0, \end{aligned}$$

where  $X_i = x_i \bmod \pi_{g,n}(2)$  ( $i = 1, \dots, 2g$ ) and  $Z_j = z_j \bmod \pi_{g,n}(3)$  ( $j = 1, \dots, n$ ).

(ii) *For each  $m$ ,  $\mathrm{gr}^m(\pi_{g,n})$  is a finitely generated free  $\mathbb{Z}$ -module whose rank is given by*

$$\mathrm{rank} \, \mathrm{gr}^m(\pi_{g,n}) = \frac{1}{m} \sum_{d|m} \mu(d) (\alpha^{m/d} + \beta^{m/d}),$$

where  $\alpha = g + \sqrt{g^2 + n - 1}$ ,  $\beta = g - \sqrt{g^2 + n - 1}$  and  $\mu$  denotes the Möbius function.

(iii) *The universal enveloping algebra of  $\mathrm{gr}(\pi_{g,n})$  is the associative algebra over  $\mathbb{Z}$  having the following generators and a defining relation:*

$$\begin{aligned} \text{generators: } & X_1, \dots, X_{2g}, Z_1, \dots, Z_n, \\ \text{relation: } & \sum_{i=1}^g (X_i X_{g+i} - X_{g+i} X_i) + \sum_{j=1}^n Z_j = 0. \end{aligned}$$

**(1.2)** We define the group of “braid-like” automorphisms of  $\pi_{g,n}$  by

$$\mathrm{Aut}_{\{z_i\}_i}(\pi_{g,n}) = \{\sigma \in \mathrm{Aut}(\pi_{g,n}) \mid \sigma(z_j) \sim z_j \ (j = 1, \dots, n)\},$$

where  $\sim$  denotes conjugacy in  $\pi_{g,n}$ .

Since each element of  $\mathrm{Aut}_{\{z_i\}_i}(\pi_{g,n})$  stabilizes  $\pi_{g,n}(2)$ ,  $\mathrm{Aut}_{\{z_i\}_i}(\pi_{g,n})$  acts on  $\pi_{g,n}/\pi_{g,n}(2) \simeq \mathbb{Z}^{2g}$ . From this we get a representation

$$\begin{aligned}\rho: \text{Aut}_{\{z_i\}_i}(\pi_{g,n}) &\rightarrow \text{GL}(2g, \mathbb{Z}), \\ \sigma &\mapsto (\rho_{ij}),\end{aligned}$$

where

$$\sigma(x_i) \equiv \prod_{k=1}^{2g} x_k^{\rho_{ki}} \pmod{\pi_{g,n}(2)}.$$

By a classical result of Nielsen, the image of this representation coincides with

$$\text{GSp}(2g, \mathbb{Z}) = \{A \in \text{GL}(2g, \mathbb{Z}) \mid {}^t A J_g A = \chi(A) J_g, \chi(A) = \pm 1\},$$

where

$$J_g = \begin{pmatrix} 0 & -1_g \\ 1_g & 0 \end{pmatrix}.$$

We define the group  $\tilde{\Gamma}_g^n$  by

$$\tilde{\Gamma}_g^n = \{\sigma \in \text{Aut}_{\{z_i\}_i}(\pi_{g,n}) \mid \chi(\rho(\sigma)) = 1\}.$$

The quotient group of  $\tilde{\Gamma}_g^n$  by the inner automorphism group  $\text{Int}(\pi_{g,n})$  is denoted by  $\Gamma_g^n$ :

$$\Gamma_g^n = \tilde{\Gamma}_g^n / \text{Int}(\pi_{g,n}).$$

In the case that  $n \geq 1$ , we also define the group  $\Gamma_{g,1}^{n-1}$  by

$$\Gamma_{g,1}^{n-1} = \{\sigma \in \tilde{\Gamma}_g^n \mid \sigma(z_n) = z_n\}.$$

Since the centralizer of  $z_n$  in  $\pi_{g,n}$  is the infinite cyclic group  $\mathbb{Z}$  generated by  $z_n$ , the canonical homomorphism  $\Gamma_{g,1}^{n-1} \rightarrow \Gamma_g^n$  induces an exact sequence

$$1 \rightarrow \mathbb{Z} \rightarrow \Gamma_{g,1}^{n-1} \rightarrow \Gamma_g^n \rightarrow 1. \quad (1.2.1)$$

As is well known, the group  $\Gamma_g^n$  (respectively  $\Gamma_{g,1}^{n-1}$ ) is isomorphic to the mapping class group of a genus  $g$  surface with  $n$ -points preserved (respectively with  $(n-1)$ -points preserved and 1 boundary component fixed) (cf., e.g., Birman [5]).

**(1.3)** For each non-negative integer  $m$ , set

$$\tilde{\Gamma}_g^n[m] = \left\{ \sigma \in \tilde{\Gamma}_g^n \left| \begin{array}{l} \sigma(x_i)x_i^{-1} \in \pi_{g,n}(m+1) \ (i=1, \dots, 2g) \\ \sigma(z_j) \stackrel{m}{\sim} z_j \ (j=1, \dots, n) \end{array} \right. \right\} \quad (m \geq 0).$$

Here,  $\stackrel{m}{\sim}$  denotes conjugacy by an element of  $\pi_{g,n}(m)$ . Then,  $\{\tilde{\Gamma}_g^n[m]\}_{m=0}^\infty$  gives a decreasing sequence of normal subgroups of  $\tilde{\Gamma}_g^n$ . Moreover,  $\{\tilde{\Gamma}_g^n[m]\}_{m=1}^\infty$  is a central filtration of the group  $\tilde{\Gamma}_g^n[1]$ .

The filtration  $\{\tilde{\Gamma}_g^n[m]\}_{m=0}^\infty$  of  $\tilde{\Gamma}_g^n$  naturally induces a filtration of  $\Gamma_g^n$  and  $\Gamma_{g,1}^{n-1}$ ; namely we put

$$\begin{aligned}\Gamma_g^n[m] &= \tilde{\Gamma}_g^n[m] \text{Int}(\pi_{g,n}) / \text{Int}(\pi_{g,n}) \quad (m \geq 0), \\ \Gamma_{g,1}^{n-1}[m] &= \Gamma_{g,1}^{n-1} \cap \tilde{\Gamma}_g^n[m] \quad (m \geq 0).\end{aligned}$$

Since  $\text{Int}(z_n) \in \Gamma_g^n[2] \setminus \Gamma_g^n[3]$ , from (1.2.1) we have the following:

**Lemma 1.** For  $m \geq 3$ , the canonical homomorphism

$$\Gamma_{g,1}^{n-1}[m] \rightarrow \Gamma_g^n[m]$$

is an isomorphism.

(1.4) To describe the module  $\text{gr}^m(\Gamma_g^n)$  ( $m \geq 1$ ), let us recall the coordinate module and its submodules (cf. [15]).

The coordinate module  $C^m(2g, n)$  ( $m \geq 1$ ) is defined by

$$C^m(2g, n) = \begin{cases} (\text{gr}^{m+1}(\pi_{g,n}))^{\oplus 2g} \oplus (\text{gr}^m(\pi_{g,n}))^{\oplus n} & (m \neq 2), \\ (\text{gr}^{m+1}(\pi_{g,n}))^{\oplus 2g} \oplus \bigoplus_{j=1}^n (\text{gr}^m(\pi_{g,n})/\mathbb{Z}\bar{z}_j) & (m = 2). \end{cases}$$

Here,  $\bar{\phantom{x}}$  denotes the image in the quotient. We define the mapping

$$c_m(2g, n): \tilde{\Gamma}_g^n[m] \rightarrow C^m(2g, n)$$

as follows. For  $\sigma \in \tilde{\Gamma}_g^n[m]$ , put  $s_i(\sigma) = \sigma(x_i)x_i^{-1}$  ( $1 \leq i \leq 2g$ ), and let  $t_j$  be an element of  $\pi_{g,n}(m)$  such that  $\sigma(z_j) = t_j z_j t_j^{-1}$  ( $1 \leq j \leq n$ ). Since the centralizer of  $z_j$  in  $\pi_{g,n}$  is the infinite cyclic subgroup generated by  $z_j$ ,  $t_j$  is uniquely determined if  $m \neq 2$ , and  $\bar{t}_j \bmod \mathbb{Z}\bar{z}_j$  is uniquely determined if  $m = 2$ . We define

$$c_m(2g, n)(\sigma) = (\overline{s_i(\sigma)})_{1 \leq i \leq 2g} \times (\bar{t}_j)_{1 \leq j \leq n}.$$

Then,  $c_m(2g, n)$  induces an injective homomorphism

$$\tilde{\iota}_m(2g, n): \text{gr}^m(\tilde{\Gamma}_g^n) \rightarrow C^m(2g, n).$$

Let us consider the linear homomorphism

$$\begin{aligned} \text{gr}^m(\pi_{g,n}) &\rightarrow C^m(2g, n) \\ \bar{t} &\mapsto (\overline{[t, x_i]})_i \times (\bar{t}, \dots, \bar{t}) \end{aligned}$$

and denote its image by  $I^m(2g, n)$ . The module  $I^m(2g, n)$  is contained in the image of  $\tilde{\iota}_m(2g, n)$ . The quotient module  $C^m(2g, n)/I^m(2g, n)$  is denoted by  $\overline{C}^m(2g, n)$ .

**Proposition 1.**

- (i) As a  $\mathbb{Z}$ -module,  $\overline{C}^m(2g, n)$  is free.
- (ii) The homomorphism  $\tilde{\iota}_m(2g, n)$  induces an injective homomorphism

$$\iota_m(2g, n): \text{gr}^m(\Gamma_g^n) \rightarrow \overline{C}^m(2g, n).$$

For the proof, cf., e.g., [2].

In the case that  $n \geq 1$ , we define a submodule  $C^m(2g, n)^*$  of  $C^m(2g, n)$  by

$$C^m(2g, n)^* = \{(S_i) \times (T_j) \in C^m(2g, n) \mid T_n = 0\},$$

and denote the restriction of  $\tilde{\iota}_m(2g, n)$  to  $\text{gr}^m(\Gamma_{g,1}^{n-1})(\subset \text{gr}^m(\tilde{\Gamma}_g^n))$  by  $\iota_m^*(2g, n)$ .

Thus, three kind of graded modules are related by the following diagram:

$$\begin{array}{ccccc} \mathrm{gr}^m(\Gamma_{g,1}^{n-1}) & \longrightarrow & \mathrm{gr}^m(\tilde{\Gamma}_g^n) & \longrightarrow & \mathrm{gr}^m(\Gamma_g^n) \\ \downarrow \iota_m^* & & \downarrow \tilde{\iota}_m & & \downarrow \iota_m \\ C^m(2g, n)^* & \longrightarrow & C^m(2g, n) & \longrightarrow & \bar{C}^m(2g, n) \end{array}$$

The following lemma will be used in the proof of Theorem A.

**Lemma 2.** Assume that  $m \geq 3$ . If the image of  $\iota_m^*(2g, 1)$  contains an element of the form

$$([U, X_1], \dots, [U, X_{2g}], 0)$$

with  $U \in \mathrm{gr}^m(\pi_{g,1})$ , then  $U = 0$ .

**Proof.** Let  $\sigma$  be an element of  $\Gamma_{g,1}[m]$  so that

$$\begin{aligned} \sigma(x_i) &= s_i x_i \quad (1 \leq i \leq 2g), \\ \sigma(z_1) &= z_1 \end{aligned}$$

with  $s_i \in \pi_{g,1}(m+1)$ . Assume that there exists an element  $u$  of  $\pi_{g,1}(m)$  satisfying

$$s_i \equiv [u, x_i] \bmod \pi_{g,1}(m+2) \quad (1 \leq i \leq 2g).$$

We have to show that  $u \in \pi_{g,1}(m+1)$ . Put  $\tau = \mathrm{Int}(u)^{-1}\sigma \in \mathrm{Aut}(\pi_{g,1})$ . Then,  $\tau(x_i)x_i^{-1} \in \pi_{g,1}(m+2)$  ( $1 \leq i \leq 2g$ ) and  $\tau(z_1) = u^{-1}z_1u$ . As  $\pi_{g,1}$  is generated by  $x_i$  ( $1 \leq i \leq 2g$ ), this shows that  $\tau$  acts trivially on  $\pi_{g,1}/\pi_{g,1}(m+2)$ . Hence  $\tau$  acts trivially on  $\pi_{g,1}(2)/\pi_{g,1}(m+3)$ . Thus  $\tau(z_1)z_1^{-1} = [u^{-1}, z_1] \in \pi_{g,1}(m+3)$  as  $z_1 \in \pi_{g,1}(2)$ . Therefore, if we put  $U = u \bmod \pi_{g,1}(m+1)$ , we have

$$\left[ U, \sum_{i=1}^g [X_i, X_{g+i}] \right] = 0$$

in the free Lie algebra  $\mathrm{gr}(\pi_{g,1})$ . Since  $U$  and  $\sum_{i=1}^g [X_i, X_{g+i}]$  are homogeneous of degree  $m$  and 2, respectively, they are linearly dependent (cf. Magnus et al. [10, Th. 5.10]). As  $m \geq 3$ , we have  $U = 0$ , i.e.,  $u \in \pi_{g,1}(m+1)$ .  $\square$

## 2. Pasting homomorphisms

(2.1) Let  $\pi_{g_1, n_1}$  ( $n_1 \geq 1$ ) and  $\pi_{g_2, n_2}$  ( $n_2 \geq 1$ ) be the groups defined by the following generators and relations:

$$\begin{aligned} \pi_{g_1, n_1} & \begin{cases} \text{generators: } x_1, \dots, x_{2g_1}, z_1, \dots, z_{n_1} \\ \text{relation: } [x_1, x_{g_1+1}] \cdots [x_{g_1}, x_{2g_1}] z_1 \cdots z_{n_1} = 1, \end{cases} \\ \pi_{g_2, n_2} & \begin{cases} \text{generators: } y_1, \dots, y_{2g_2}, w_1, \dots, w_{n_2} \\ \text{relation: } [y_1, y_{g_2+1}] \cdots [y_{g_2}, y_{2g_2}] w_1 \cdots w_{n_2} = 1. \end{cases} \end{aligned}$$

As  $\pi_{g_1, n_1}$  is the free group on  $x_1, \dots, x_{2g_1}, z_1, \dots, z_{n_1-1}$ , it is easy to see that the subgroup  $\langle z_{n_1} \rangle$  generated by  $z_{n_1}$  is an infinite cyclic group. Similarly, the subgroup  $\langle w_{n_2} \rangle$  of  $\pi_{g_2, n_2}$  is also an infinite cyclic group. Fix isomorphisms as follows:

$$\begin{aligned}\langle z_{n_1} \rangle &\simeq \mathbb{Z} \simeq \langle w_{n_2} \rangle, \\ z_{n_1} &\leftrightarrow 1 \leftrightarrow w_{n_2}^{-1}.\end{aligned}$$

The amalgamated product of  $\pi_{g_1, n_1}$  and  $\pi_{g_2, n_2}$  with respect to  $\mathbb{Z}$  is isomorphic to  $\pi_{g, n}$  ( $g = g_1 + g_2$ ,  $n = n_1 + n_2 - 2$ ):

$$\pi_{g, n} = \pi_{g_1, n_1} *_{\mathbb{Z}} \pi_{g_2, n_2}.$$

Let  $\sigma_1$  and  $\sigma_2$  be elements of  $\Gamma_{g_1, 1}^{n_1-1}$  and  $\Gamma_{g_2, 1}^{n_2-1}$ , respectively. By definition,  $\sigma_1$  (respectively  $\sigma_2$ ) is the identity on the subgroup  $\langle z_{n_1} \rangle$  (respectively  $\langle w_{n_2} \rangle$ ). Hence the pair  $(\sigma_1, \sigma_2)$  of automorphisms determines an automorphism of  $\pi_{g, n}$ . We denote this by  $\sigma_1 * \sigma_2$ :

$$\sigma_1 * \sigma_2 : \pi_{g, n} \rightarrow \pi_{g, n}.$$

Obviously,  $\sigma_1 * \sigma_2$  belongs to  $\tilde{\Gamma}_g^n$ . Then, we have a homomorphism

$$\begin{aligned}\tilde{p} : \Gamma_{g_1, 1}^{n_1-1} \times \Gamma_{g_2, 1}^{n_2-1} &\rightarrow \tilde{\Gamma}_g^n \\ (\sigma_1, \sigma_2) &\mapsto \sigma_1 * \sigma_2.\end{aligned}$$

It is easy to see that this homomorphism preserves the filtration, i.e., if  $\sigma_i \in \Gamma_{g_i, 1}^{n_i-1}[m]$  ( $i = 1, 2$ ), then  $\sigma_1 * \sigma_2 \in \tilde{\Gamma}_g^n[m]$ . Composing this with the canonical homomorphism  $\tilde{\Gamma}_g^n[3] \rightarrow \Gamma_g^n[3]$ , we have a homomorphism

$$\Gamma_{g_1, 1}^{n_1-1}[3] \times \Gamma_{g_2, 1}^{n_2-1}[3] \rightarrow \Gamma_g^n[3],$$

which preserves the filtration. By Lemma 1, this may be regarded as a homomorphism

$$\Gamma_{g_1}^{n_1}[3] \times \Gamma_{g_2}^{n_2}[3] \rightarrow \Gamma_g^n[3].$$

Both homomorphisms will be denoted by  $p$ . Then, our main result is the following:

**Theorem A.** *The homomorphism  $p$  preserves the filtration strictly, i.e.,*

$$p^{-1}(\Gamma_g^n[m]) = \Gamma_{g_1, 1}^{n_1-1}[m] \times \Gamma_{g_2, 1}^{n_2-1}[m] \quad (m \geq 3).$$

(2.2) By using the coordinate modules, the above theorem can be interpreted as giving some properties of the graded Lie algebras  $\text{gr}(\pi_{g_i, n_i})$  ( $i = 1, 2$ ) and  $\text{gr}(\pi_{g, n})$  as follows.

Let

$$f_i : \pi_{g_i, n_i} \rightarrow \pi_{g, n} = \pi_{g_1, n_1} *_{\mathbb{Z}} \pi_{g_2, n_2} \quad (i = 1, 2)$$

be the canonical homomorphisms. As is well known, these are injective. It is easy to see that these homomorphisms preserve the weight filtration. Hence they induce homomorphisms of the associated graded Lie algebras

$$\text{gr}(f_i) : \text{gr}(\pi_{g_i, n_i}) \rightarrow \text{gr}(\pi_{g, n}) \quad (i = 1, 2).$$



Let us consider the following commutative diagram:

$$\begin{array}{ccccccc}
 \mathrm{gr}^m(\Gamma_{g_1,1}^{n_1-1}) \oplus \mathrm{gr}^m(\Gamma_{g_2,1}^{n_2-1}) & \xrightarrow{\mathrm{gr}^m(\tilde{p})} & \mathrm{gr}^m(\tilde{\Gamma}_g^n) & \longrightarrow & \mathrm{gr}^m(\Gamma_g^n) & & \\
 \downarrow \iota^* & & \downarrow \tilde{\iota}_m & & \downarrow \iota_m & & \\
 C^m(2g_1, n_1)^* \oplus C^m(2g_2, n_2)^* & \xrightarrow{F} & C^m(2g, n) & \longrightarrow & \overline{C}^m(2g, n) & & 
 \end{array}$$

Here,  $\iota^*$  is the sum of  $\iota_m^*(g_1, n_1)$  and  $\iota_m^*(g_2, n_2)$  and  $F$  is the homomorphism naturally induced from  $\mathrm{gr}(f_1)$  and  $\mathrm{gr}(f_2)$ . The above theorem asserts that

$$\mathrm{gr}^m(p) : \mathrm{gr}^m(\Gamma_{g_1,1}^{n_1-1}) \oplus \mathrm{gr}^m(\Gamma_{g_2,1}^{n_2-1}) \rightarrow \mathrm{gr}^m(\Gamma_g^n)$$

is injective. Therefore, the proof of Theorem A reduces to showing that

- (I)  $F$  is injective and
- (II)  $\mathrm{Im}(F \circ \iota^*) \cap I^m(2g, n) = \{0\}$  ( $m \geq 3$ ).

### 3. Amalgamated product of rings

(3.1) To prove the statements (I) and (II) in (2.2), we need the structure theorem of amalgams of rings, which we briefly recall.

Let  $A, B_1, B_2$  be rings and  $A \rightarrow B_i$  be an injective homomorphism for  $i = 1, 2$ . Let  $B$  be the amalgamated product of  $B_1$  and  $B_2$  with respect to  $A$ :

$$B = B_1 *_A B_2.$$

Here, we make the following assumption:

- (A) There exists a sub- $A$ -bimodule  $B'_i$  of  $B_i$  such that  $B_i = A \oplus B'_i$  ( $i = 1, 2$ ).

Then, we have the following:

#### Structure Theorem.

$$B = A \oplus \left( \bigoplus B'_i \right).$$

Here,  $B'_i$  is a sub- $A$ -bimodule of  $B$  and

$$B'_i \simeq B'_{i_1} \otimes_A B'_{i_2} \otimes_A \cdots \otimes_A B'_{i_n} \quad (i = (i_1, i_2, \dots, i_n), i_m \neq i_{m+1}).$$

(Cf. Serre [17, 1.2 Exercise].)

**Corollary.** The canonical homomorphism  $B_i \rightarrow B$  is injective.

(3.2) Let  $B_1$  (respectively  $B_2$ ) be the free associative algebra on  $X_1, \dots, X_{2g_1}, Z_1, \dots, Z_{n_1-1}$  (respectively  $Y_1, \dots, Y_{2g_2}, W_1, \dots, W_{n_2-1}$ ) over  $\mathbb{Z}$ .

Put

$$Z = \sum_{i=1}^{g_1} (X_i X_{g_1+i} - X_{g_1+i} X_i) + \sum_{j=1}^{n_1-1} Z_j,$$

$$W = \sum_{i=1}^{g_2} (Y_i Y_{g_2+i} - Y_{g_2+i} Y_i) + \sum_{j=1}^{n_2-1} W_j,$$

and let  $A = \mathbb{Z}[t]$  be the polynomial ring of one variable over  $\mathbb{Z}$ . Then, it is easy to see that the subalgebra  $\langle Z \rangle$  of  $B_1$  (respectively  $\langle W \rangle$  of  $B_2$ ) generated by  $Z$  (respectively by  $W$ ) is isomorphic to  $A$ . Fix isomorphisms as follows:

$$\begin{aligned} \langle Z \rangle &\simeq A \simeq \langle W \rangle \\ Z &\leftrightarrow t \leftrightarrow -W \end{aligned}$$

Let  $B$  be the amalgamated product of  $B_1$  and  $B_2$  with respect to  $A$ . Thus  $B$  is an associative algebra over  $\mathbb{Z}$  and has the following generators and a relation:

generators:  $X_1, \dots, X_{2g_1}, Z_1, \dots, Z_{n_1-1}, Y_1, \dots, Y_{2g_2}, W_1, \dots, W_{n_2-1},$

$$\begin{aligned} \text{relation: } &\sum_{i=1}^{g_1} (X_i X_{g_1+i} - X_{g_1+i} X_i) + \sum_{j=1}^{n_1-1} Z_j \\ &+ \sum_{i=1}^{g_2} (Y_i Y_{g_2+i} - Y_{g_2+i} Y_i) + \sum_{j=1}^{n_2-1} W_j = 0. \end{aligned}$$

To apply the Structure Theorem, we verify the following:

**Lemma 3.** *The assumption (A) is satisfied for these  $B_i$ .*

**Proof.** We shall prove the lemma for  $B_1$ . For simplicity, we write  $g$  for  $g_1$ . We regard  $B_1$  as a graded algebra over  $\mathbb{Z}$ , where the degree of  $X_i$  (respectively  $Z_j$ ) is 1 (respectively 2).

Let  $B_1^{(n)}$  ( $n \geq 1$ ) be the set of elements of homogeneous of degree  $n$  and  $v_1^{(n)}, v_2^{(n)}, \dots, v_{r_n}^{(n)}$  be all monomials of degree  $n$ . Then,  $B_1^{(n)}$  is a free  $\mathbb{Z}$ -module with a basis  $v_1^{(n)}, v_2^{(n)}, \dots, v_{r_n}^{(n)}$ .

Let  $X_l$  be given. We may (and will) assume that  $v_1^{(2m)} = (X_l X_{g+l})^m$  ( $m \geq 1$ ). Since  $Z^m$  is a linear combination of distinct monomials with coefficients  $\pm 1$ ,

$$Z^m, v_2^{(2m)}, \dots, v_{r_{2m}}^{(2m)}$$

is also a basis of  $B_1^{(2m)}$ .

Put

$$\begin{aligned} C &= \bigoplus_{m=1}^{\infty} B_1^{(2m-1)}, \\ D &= \bigoplus_{m=1}^{\infty} (\mathbb{Z} v_2^{(2m)} \oplus \dots \oplus \mathbb{Z} v_{r_{2m}}^{(2m)}). \end{aligned}$$

**Claim 1.**  $ZC \subset C, CZ \subset C$ .

This is obvious, as  $Z$  is homogeneous of degree 2.

**Claim 2.**  $ZD \subset D$ ,  $DZ \subset D$ .

As  $Zv_k^{(2m)} \in B_1^{(2m+2)}$  ( $2 \leq k \leq r_{2m}$ ), we have

$$Zv_k^{(2m)} = c_1 Z^{m+1} + c_2 v_2^{(2m+2)} + \cdots + c_{r_{2m+2}} v_{2m+2}^{(2m+2)} \quad (c_i \in \mathbb{Z}).$$

Comparing the coefficients of  $(X_l X_{g+l})^{m+1}$  in both sides, we have  $c_1 = 0$ . Thus,  $Zv_k^{(2m)} \in D$ . Therefore,  $ZD \subset D$ . Similarly,  $DZ \subset D$ .

Put  $B'_1 = C \oplus D$ . Then, by Claims 1 and 2,  $B'_1$  is a sub- $A$ -bimodule and  $B_1 = A \oplus B'_1$ .  $\square$

**Remark.** Assume that  $g_1 \geq 2$  and  $X_k$  ( $1 \leq k \leq g_1$ ) are given. Choose  $X_l$  ( $1 \leq l \leq g_1$ ) different from  $X_k$ . Then it can be checked easily that the sub- $A$ -bimodule  $B'_1$  constructed in the proof of Lemma 3 satisfies  $X_k B'_1 \subset B'_1$ ,  $B'_1 X_k \subset B'_1$ . This fact will be used later.

(3.3) As the first application of the Structure Theorem, we have the following:

**Lemma 4.** *The homomorphisms  $\text{gr}(f_i)$  ( $i = 1, 2$ ) are injective.*

**Proof.** By Theorem WL, the algebras  $B_1$ ,  $B_2$  and  $B$  are the universal enveloping algebras of the Lie algebras  $\text{gr}(\pi_{g_1, n_1})$ ,  $\text{gr}(\pi_{g_2, n_2})$  and  $\text{gr}(\pi_{g, n})$ , respectively. Moreover, these Lie algebras are free as  $\mathbb{Z}$ -modules. Therefore, by the Poincaré–Birkhoff–Witt theorem, the lemma follows from the Corollary of the Structure Theorem.  $\square$

**Corollary.** *The homomorphism  $F$  in (2.2) is injective.*

#### 4. Proof of Theorem A

(4.1) In this section, we shall prove Theorem A. As mentioned in the introduction, it is proved in [14] in the case that either  $n_1 > 1$  or  $n_2 > 1$ . Therefore, we shall restrict ourselves to the case that  $n_1 = n_2 = 1$ .

It remains to verify the statement (II) in (2.2).

Let  $L$  be the Lie algebra over  $\mathbb{Z}$  defined by the following generators and a relation:

$$\begin{aligned} \text{generators: } & X_1, \dots, X_{2g_1}, Y_1, \dots, Y_{2g_2}, \\ \text{relation: } & \sum_{i=1}^{g_1} [X_i, X_{g_1+i}] + \sum_{j=1}^{g_2} [Y_j, Y_{g_2+j}] = 0. \end{aligned}$$

Let  $L_1$  (respectively  $L_2$ ) be the Lie subalgebra of  $L$  generated by  $X_1, \dots, X_{2g_1}$  (respectively  $Y_1, \dots, Y_{2g_2}$ ). Thus, by Lemma 4,  $L_i = \text{gr}(\pi_{g_i, 1})$  ( $i = 1, 2$ ) and  $L = \text{gr}(\pi_{g, 0})$  with  $g = g_1 + g_2$ .

Then the module  $I^m(2g, 0)$  consists of all elements of the form

$$([U, X_1], \dots, [U, X_{2g_1}], [U, Y_1], \dots, [U, Y_{2g_2}]) \quad (4.1.1)$$

with  $U \in \text{gr}^m(\pi_{g, 0})$ .

(4.2) First we treat the case that one of  $g_i$ , say  $g_2$ , is 1. As is well known, the group  $\Gamma_{1,1}[1] = \{1\}$ , hence  $\text{gr}^m(\Gamma_{1,1}) = \{0\}$ . Therefore, if the image of  $F \circ \iota^*$  contains an element of the form (4.1.1), we have

$$[U, Y_1] = [U, Y_2] = 0.$$

Thus, it suffices to show the following:

**Lemma 5.** *Let  $U$  be an element of  $\text{gr}(\pi_{g,0})$  satisfying  $[U, Y_1] = [U, Y_2] = 0$ . Then,  $U = 0$ .*

For the proof, see [3, Lemma 3.13].

(4.3) We shall prove Theorem A in the case that both of the  $g_i$  are greater than 1. Instead of Lemma 5 in the case that  $g_2 = 1$ , we need the following lemma whose proof will be given later.

**Lemma 6.** *Assume that  $g_1 \geq 2$  and  $g_2 \geq 2$ . Let  $X_{k_1}$  and  $Y_{k_2}$  be given and let  $U$  be an element of  $L$  satisfying  $[U, X_{k_1}] \in L_1$  and  $[U, Y_{k_2}] \in L_2$ . Then,  $U \in \mathbb{Z} \cdot Z$  (hence  $U \in L_1 \cap L_2$ ), where*

$$Z = \sum_{i=1}^{g_1} [X_i, X_{g_1+i}].$$

Assume that the image of  $F \circ \iota^*$  contains an element of the form (4.1.1). Then we have

$$\begin{aligned} [U, X_i] &\in L_1 \quad (1 \leq i \leq 2g_1), \\ [U, Y_j] &\in L_2 \quad (1 \leq j \leq 2g_2). \end{aligned}$$

By Lemma 6,  $U$  belongs to  $L_1 \cap L_2$ . Thus the image of  $\iota_m^*(2g_1, 1)$  contains the element

$$([U, X_1], \dots, [U, X_{2g_1}], 0),$$

where  $U$  belongs to  $L_1$ . Then  $U = 0$  by Lemma 2 and the proof is complete.

**Proof of Lemma 6.** Let  $B_1$ ,  $B_2$  and  $B$  be the algebras defined in (3.2). Then, by Theorem WL, these are the universal enveloping algebras of  $L_1$ ,  $L_2$  and  $L$ , respectively. We shall consider that these Lie algebras and algebras are (via canonical homomorphisms) all contained in the algebra  $B$ . Let  $B'_1$  be the sub- $A$ -bimodule of  $B_1$  constructed in the proof of Lemma 3, where we choose  $X_l$  different from  $X_{k_1}$ . (This is possible as  $g_1 \geq 2$ .) Let  $B'_2$  denote a sub- $A$ -bimodule of  $B_2$  constructed in a similar way. Then, as is remarked after Lemma 3, we have  $X_{k_1} B'_1 \subset B'_1$ ,  $B'_1 X_{k_1} \subset B'_1$  and  $Y_{k_2} B'_2 \subset B'_2$ ,  $B'_2 Y_{k_2} \subset B'_2$ .

By the Structure Theorem,  $U$  can be expressed as

$$U = S + \sum_i T_i$$

with  $S \in A$  and  $T_i \in B'_i$  ( $i = (i_1, i_2, \dots, i_n)$ ,  $i_m \neq i_{m+1}$ ).

**Claim 1.**  $T_i = 0$  if  $n \geq 2$ .

We shall prove this in the case that  $i_n = 2$ . The case  $i_n = 1$  can be proved in the same way and will be omitted.

*Case 1.*  $i_1 = 1$ . Put  $i_0 = (i_2, \dots, i_n)$ . Then, the  $i$ -component of  $UX_{k_1}$  is 0 and that of  $X_{k_1}U$  is

$$X_{k_1}T_{i_0} + X_{k_1}T_i = X_{k_1}(T_{i_0} + T_i).$$

As  $UX_{k_1} - X_{k_1}U$  belongs to  $B_1 = A \oplus B'_1$ , we have  $X_{k_1}(T_{i_0} + T_i) = 0$ . Hence,  $T_{i_0} + T_i = 0$ . Therefore,  $T_{i_0} = T_i = 0$ .

*Case 2.*  $i_1 = 2$ . Put  $i' = (i_1, \dots, i_n, 1)$ . Then the  $i'$ -component of  $X_{k_1}U$  is 0 and that of  $UX_{k_1}$  is

$$T_i X_{k_1} + T_{i'} X_{k_1} = (T_i + T_{i'}) X_{k_1}.$$

Similarly as in the case 1, we have  $(T_i + T_{i'}) X_{k_1} = 0$ . Hence,  $T_i + T_{i'} = 0$ . Therefore,  $T_i = T_{i'} = 0$ .

**Claim 2.**  $U \in A$ .

By Claim 1, we have

$$U = S + T_1 + T_2 \quad (T_1 \in B'_1, T_2 \in B'_2).$$

By assumption,

$$UX_{k_1} - X_{k_1}U = (S + T_1)X_{k_1} - X_{k_1}(S + T_1) + T_2X_{k_1} - X_{k_1}T_2$$

belongs to  $B_1$ . Hence, we have  $T_2X_{k_1} = X_{k_1}T_2 = 0$ . Therefore,  $T_2 = 0$ . Similarly, the element

$$UY_{k_2} - Y_{k_2}U = SY_{k_2} - Y_{k_2}S + T_1Y_{k_2} - Y_{k_2}T_1$$

belongs to  $B_2$ . Hence, we have  $T_1Y_{k_2} = Y_{k_2}T_1 = 0$ . Therefore,  $T_1 = 0$ . Thus, we have  $U = S \in A$ .

**Claim 3.**  $U \in \mathbb{Z} \cdot Z$ .

By Claim 2, we have

$$U = \sum_{k=0}^n a_k Z^k \quad (a_k \in \mathbb{Z}).$$

Since  $U$  is a Lie element,  $a_0 = 0$  and each homogeneous component  $a_k Z^k$  ( $k \geq 1$ ) is a Lie element. But if  $k \geq 2$ ,  $Z^k$  is not a Lie element. (This is a direct consequence of the Dynkin–Specht–Wever Theorem (cf., e.g., [10, Th. 5.17]).) Therefore,  $U = a_1 Z$  and the proof is completed.  $\square$

**Remark.** It seems plausible that Lemma 6 holds also in the case that either  $g_1 = 1$  or  $g_2 = 1$ .

## 5. The rank of $\text{gr}^m(\Gamma_g^n)$

(5.1) In this section, we shall give a lower bound for the rank of the module  $\text{gr}^m(\Gamma_g)$  for  $m \geq 1$  and  $g \geq 3$ .

For  $g = 0, 1$ , the rank of  $\text{gr}^m(\Gamma_g^n)$  ( $m \geq 1$ ) is completely determined as follows. We first remark that in the case of  $g = 0$ , by definition,

$$\pi_{0,n}(2m-1) = \pi_{0,n}(2m) = m\text{th term of the lower central series of } \pi_{0,n}$$

for  $m \geq 1$ . Thus, we have

$$\Gamma_0^n[2m-1] = \Gamma_0^n[2m],$$

so that  $\text{gr}^{2m-1}(\Gamma_0^n) = \{0\}$ .

**Proposition 2.** Assume that  $n \geq 2$ .

- (i)  $\text{rank } \text{gr}^m(\Gamma_g^n) = \text{rank } \text{gr}^m(\Gamma_g^{n-1}) + \text{rank } \text{gr}^m(\pi_{g,n-1})$ .
- (ii)  $\text{rank } \text{gr}^{2m}(\Gamma_0^n) = (1/m) \sum_{i=1}^{n-2} \sum_{d|m} \mu(d) i^{m/d}$ .
- (iii)  $\text{rank } \text{gr}^m(\Gamma_1^n) = (1/m) \sum_{r=1}^{n-1} \sum_{d|m} \mu(d) \{(1 + \sqrt{r})^{m/d} + (1 - \sqrt{r})^{m/d}\}$ .

**Proof.** Recall that we have an exact sequence

$$1 \rightarrow \pi_{g,n-1}(m) \rightarrow \Gamma_g^n[m] \rightarrow \Gamma_g^{n-1}[m] \rightarrow 1$$

for  $n \geq 2$  (cf. [2]). Statement (i) follows from this. By using that  $\Gamma_0^3 = \{1\}$  and  $\Gamma_1^1[1] = \{1\}$ , (ii) and (iii) will be obtained from (i) and Theorem WL.  $\square$

Let us consider the case that  $(g_2, n_2) = (1, 1)$  in Theorem A. Then, we have injective homomorphisms

$$\text{gr}^m(\Gamma_g^n) \rightarrow \text{gr}^m(\Gamma_{g+1}^{n-1}).$$

Composing these successively, we have an injective homomorphism

$$\text{gr}^m(\Gamma_1^{g-1}) \rightarrow \text{gr}^m(\Gamma_g).$$

Thus, by Proposition 2(iii), we have the following lower bound for the rank of the module  $\text{gr}^m(\Gamma_g)$ .

**Theorem B.** For  $m \geq 1$  and  $g \geq 3$ , we have

$$\text{rank } \text{gr}^m(\Gamma_g) \geq \frac{1}{m} \sum_{r=1}^{g-2} \sum_{d|m} \mu(d) \{(1 + \sqrt{r})^{m/d} + (1 - \sqrt{r})^{m/d}\}.$$

**Remark.** The right hand side of the above inequality is a polynomial in  $g$  with rational coefficients. Its term of highest degree is

$$\begin{cases} \frac{1}{k(k+1)} g^{k+1} & (m = 2k), \\ \frac{2}{k+1} g^{k+1} & (m = 2k + 1). \end{cases}$$

(5.2) In the case that  $g = 0, 1$ , the central filtration  $\{\Gamma_g^n[m]\}_{m \geq 1}$  of  $\Gamma_g^n[1]$  (essentially) coincides with the lower central filtration of  $\Gamma_g^n[1]$ . This seems to be more or less well known, but does not seem to explicitly appear in the literature. Moreover, in the case that  $g \geq 2$ , the filtration  $\{\Gamma_g^n[m]\}_{m \geq 1}$  does not coincide with the lower central filtration (cf. [12]). Therefore, we shall give the proof for the convenience of the reader.

**Proposition 3.**

- (i) For  $g = 0$ , the subgroup  $\Gamma_0^n[2m]$  is the  $m$ th term of the lower central series of  $\Gamma_0^n$ .
- (ii) For  $g = 1$ , the subgroup  $\Gamma_1^n[m]$  is the  $m$ th term of the lower central series of  $\Gamma_1^n[1]$ .

For the proof, we need the following:

**Lemma 7.** Let

$$1 \rightarrow \pi_{g,n} \rightarrow G \rightarrow H \rightarrow 1$$

be an exact sequence of groups and  $\{G_m\}_{m \geq 1}$  be a central series of  $G$ . Assume the following conditions are satisfied:

- (i) This sequence induces exact sequences

$$1 \rightarrow \pi_{g,n}(m) \xrightarrow{d_n} G_m \rightarrow H(m) \rightarrow 1$$

for all  $m \geq 1$ ,  $\{H(m)\}_{m \geq 1}$  being the lower central series of  $H$ .

- (ii) The elements  $d_2(z_j)$  ( $1 \leq j \leq n$ ) are all contained in  $G(2)(=[G, G])$ , the commutator subgroup of  $G$ .

Then,  $\{G_m\}_{m \geq 1}$  coincides with the lower central series of  $G$ , i.e.,  $G_m = G(m)$ .

The proof is straightforward by induction on  $m$ .

**Proof of Proposition 3.** We apply Lemma 7 to the exact sequence

$$1 \rightarrow \pi_{g,n-1}(m) \rightarrow \Gamma_g^n[m] \rightarrow \Gamma_g^{n-1}[m] \rightarrow 1.$$

We first consider the case of  $g = 0$ . In the case of  $n = 4$ , this gives

$$\pi_{0,3}(2m) \simeq \Gamma_0^4[2m],$$

which settles the proposition in this case. By Lemma 7, the proposition follows by induction on  $n$ .

Let us consider the case of  $g = 1$ . Since  $\Gamma_1^1[1] = \{1\}$ , for  $n = 2$ , the above exact sequence gives

$$\pi_{1,1}(m) \simeq \Gamma_1^2[m],$$

which settles the proposition for  $n = 2$ . By a result of Birman [4], the condition (ii) of Lemma 7 is satisfied. Therefore, Lemma 7 can be applied and the proposition follows by induction on  $n$ .  $\square$

## Acknowledgement

The author wishes to express his gratitude to Professor H. Nakamura for stimulating discussions.

## References

- [1] M. Asada, Two properties of the filtration of the outer automorphism groups of certain groups, *Math. Z.* 218 (1995) 123–133.
- [2] M. Asada, On the filtration of topological and pro- $l$  mapping class groups of punctured Riemann surfaces, *J. Math. Soc. Japan* 48 (1996) 13–36.
- [3] M. Asada, H. Nakamura, On graded quotient modules of mapping class groups of surfaces, *Israel J.* 90 (1995) 93–113.
- [4] J.S. Birman, On braid groups, *Comm. Pure Appl. Math.* 22 (1969) 41–72.
- [5] J.S. Birman, The algebraic structure of surface mapping class groups, in: W. Harvey (Ed.), *Discrete Groups and Automorphic Functions*, Academic Press, New York, 1977, pp. 163–198.
- [6] N. Bourbaki, *Groupes et algèbres de Lie*, Chap. 2 et 3, Hermann, Paris, 1972.
- [7] D. Johnson, An Abelian quotient of the mapping class group  $I_g$ , *Math. Ann.* 249 (1980) 225–242.
- [8] M. Kaneko, Certain automorphism groups of pro- $l$  fundamental groups of punctured Riemann surfaces, *J. Fac. Sci. Univ. Tokyo* 36 (1989) 363–372.
- [9] J. Labute, On the descending central series of groups with a single defining relation, *J. Algebra* 14 (1970) 16–23.
- [10] W. Magnus, A. Karrass, D. Solitar, *Combinatorial group theory*, Interscience, New York, 1966.
- [11] M. Matsumoto, Combinatorial description of Dehn twists, *RIMS Preprints* 925 (1993).
- [12] S. Morita, Casson invariant for homology 3-spheres and characteristic classes of surface bundles 1, *Topology* 28 (1989) 305–323.
- [13] S. Morita, Abelian quotients of subgroups of the mapping class group of surfaces, *Duke Math. J.* 70 (1993) 699–726.
- [14] H. Nakamura, Coupling of universal monodromy representations of Galois–Teichmüller modular groups, *Math. Ann.* 304 (1996) 99–119.
- [15] H. Nakamura, H. Tsunogai, Some finiteness theorems on Galois centralizers in pro- $l$  mapping class groups, *J. Reine Angew. Math.* 441 (1993) 115–144.
- [16] T. Oda, A lower bound for the graded modules associated with the relative weight filtration on the Teichmüller group, Preprint, 1992.
- [17] J.-P. Serre, *Trees*, Springer, Berlin, 1966.
- [18] E. Witt, Treue Darstellung Lieschen Ringe, *J. Reine Angew. Math.* 177 (1937) 152–160.